



CEE & CIS Index Committee

December 2020

- **Index Adjustments**
(Inclusions, Exclusions, Number of Shares, Free Float Factors)
- **New Weighting Factors**
(Representation Factors, Smoothing Factors, Fundamental Factors)

CEE & CIS INDEX COMMITTEE - Index Adjustments

CEE Indices

CTX effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	O2 C.R.	CZ0009093209	300,882,147	

HTX effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	OPUS	HU0000110226		0.40

PTX effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	ALLEGRO.EU	LU2237380790		0.40
Adjustment	CD PROJEKT	PLOPTTC00011		0.70
Adjustment	LPP	PLLPP0000011		0.60

CECE effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	ALLEGRO.EU	LU2237380790		0.40
Adjustment	CD PROJEKT	PLOPTTC00011		0.70
Adjustment	LPP	PLLPP0000011		0.60
Adjustment	O2 C.R.	CZ0009093209	300,882,147	
Adjustment	OPUS	HU0000110226		0.40

SETX effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	FONDUL PROPRIETATEA	ROFPTAACNOR5	7,210,158,254	
Adjustment	HRVATSKI TELEKOM	HRHT00RA0005	80,766,229	
Adjustment	NUCLEARELECTRICA	ROSNNEACNOR8	301,643,894	

CECExt effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	ALLEGRO.EU	LU2237380790		0.40
Adjustment	CD PROJEKT	PLOPTTC00011		0.70
Adjustment	FONDUL PROPRIETATEA	ROFPTAACNOR5	7,210,158,254	
Adjustment	HRVATSKI TELEKOM	HRHT00RA0005	80,766,229	
Adjustment	LPP	PLLPP0000011		0.60
Adjustment	NUCLEARELECTRICA	ROSNNEACNOR8	301,643,894	
Adjustment	O2 C.R.	CZ0009093209	300,882,147	
Adjustment	OPUS	HU0000110226		0.40

CECE MID

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	BANK MILLENNIUM	PLBIG0000016	1,213,009,169	
Adjustment	KRUK	PLKRK0000010		0.90
Adjustment	NUCLEARELECTRICA	ROSNNEACNOR8	301,643,894	
Adjustment	O2 C.R.	CZ0009093209	300,882,147	
Adjustment	OPUS	HU0000110226		0.40

CECE TD

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Inclusion	4IG	HU0000167788	94,000,000	0.40
Inclusion	CEZ	CZ0005112300	537,989,759	0.40
Inclusion	GRUPA LOTOS	PLLOTOS00025	184,811,272	0.50
Inclusion	PGNIG	PLPGNIG00014	5,778,314,857	0.30
Inclusion	PKN ORLEN	PLPKN0000018	427,709,061	0.80
Inclusion	RICHTER GEDEON	HU0000123096	186,374,860	0.80
Inclusion	STOCK SPIRITS GROUP	GB00BF5SDZ96	200,000,000	0.60
Exclusion	ANY SECURITY PRINTING	HU0000093257		
Exclusion	BANK PEKAO	PLPEKAO00016		
Exclusion	KOFOLA CS	CZ0009000121		
Exclusion	KOMERCNI BANKA	CZ0008019106		
Exclusion	MONETA MONEY BANK	CZ0008040318		
Exclusion	PZU	PLPZU0000011		
Exclusion	SANTANDER BANK POLSKA	PLBZ00000044		
Adjustment	O2 C.R.	CZ0009093209	300,882,147	

CECE FND

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	ALLEGRO.EU	LU2237380790		0.40
Adjustment	CD PROJEKT	PLOPTTC00011		0.70
Adjustment	LPP	PLLPP0000011		0.60
Adjustment	O2 C.R.	CZ0009093209	300,882,147	
Adjustment	OPUS	HU0000110226		0.40

NTX

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	ALLEGRO.EU	LU2237380790		0.40
Adjustment	CD PROJEKT	PLOPTTC00011		0.70
Adjustment	FONDUL PROPRIETATEA	ROFPTAACNOR5	7,210,158,254	
Adjustment	LPP	PLLPP0000011		0.60

CECE SRI

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	HRVATSKI TELEKOM	HRHT00RA0005	80,766,229	
Adjustment	O2 C.R.	CZ0009093209	300,882,147	

BATX

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	NOVA BANKA AD BANJA LUKA	BA100NOVBRE1		0.20

BTX

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
no adjustment				

CROX

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	HRVATSKI TELEKOM	HRHT00RA0005	80,766,229	

ROTX

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	FONDUL PROPRIETATEA	ROFPTAACNOR5	7,210,158,254	
Adjustment	NUCLEARELECTRICA	ROSNEACNOR8	301,643,894	

SRX

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	METALAC	RSMETAE71629		0.80

CECE SOFT

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	11 BIT STUDIOS	PL11BTS00015	2,358,895	
Adjustment	ALLEGRO.EU	LU2237380790		0.40
Adjustment	ASSECO POLAND	PLSOFTB00016		0.70
Adjustment	AVAST	GB00BDD85M81	1,028,357,400	
Adjustment	CD PROJEKT	PLOPTTC00011		0.70
Adjustment	TEN SQUARE GAMES	PLTSQGM00016	7,267,311	

CECE BNK

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	BANK MILLENNIUM	PLBIG0000016	1,213,009,169	
Adjustment	MBANK	PLBRE0000012	42,354,431	

CECE HCA

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	NEUCA	PLTRFRM00018	4,366,299	

CECE OIL

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
no adjustment				

CECE TEL

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	HRVATSKI TELEKOM	HRHT00RA0005	80,766,229	
Adjustment	O2 C.R.	CZ0009093209	300,882,147	

CECE INF

effective 21 December 2020

	Name	ISIN	New Number of Shares	New Free Float Factor
Adjustment	HRVATSKI TELEKOM	HRHT00RA0005	80,766,229	
Adjustment	NUCLEARELECTRICA	ROSNEACNOR8	301,643,894	
Adjustment	O2 C.R.	CZ0009093209	300,882,147	

CERX

effective 21 December 2020

Name	ISIN	New Number of Shares	New Free Float Factor
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no adjustment

EETX

effective 21 December 2020

	Name	ISIN	DR Ratio	New Number of Shares	New Free Float Factor
Adjustment	CD PROJEKT	PLOPTTC00011			0.70
Adjustment	FONDUL PROPRIETATEA	ROFPTAACNOR5		7,210,158,254	
Adjustment	HRVATSKI TELEKOM	HRHT00RA0005		80,766,229	
Adjustment	LPP	PLPPP0000011			0.60

CIS Indices

RTX effective 21 December 2020

Name	ISIN	New Number of Shares	New Free Float Factor
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no adjustment

RTX MID effective 21 December 2020

Name	ISIN	New Number of Shares	New Free Float Factor
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no adjustment

RTX MET effective 21 December 2020

Name	ISIN	New Number of Shares	New Free Float Factor
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no adjustment

RTX NRG effective 21 December 2020

Name	ISIN	New Number of Shares	New Free Float Factor
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no adjustment

RTX OIL effective 21 December 2020

Name	ISIN	New Number of Shares	New Free Float Factor
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no adjustment

RDX effective 21 December 2020

Name	ISIN	DR Ratio	New Number of Shares	New Free Float Factor
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no adjustment

RDXxt effective 21 December 2020

Name	ISIN	DR Ratio	New Number of Shares	New Free Float Factor
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Adjustment MAIL.RU US5603172082 225,408,721 0.60

RDX MET effective 21 December 2020

Name	ISIN	DR Ratio	New Number of Shares	New Free Float Factor
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no adjustment

RDX OIL effective 21 December 2020

Name	ISIN	DR Ratio	New Number of Shares	New Free Float Factor
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no adjustment

KTX effective 21 December 2020

Name	ISIN	DR Ratio	New Number of Shares	New Free Float Factor
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no adjustment

KTX LOCAL

effective 21 December 2020

Name	ISIN	New Number of Shares	New Free Float Factor
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no adjustment

UTX

effective 21 December 2020

Name	ISIN	New Number of Shares	New Free Float Factor
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Due to the trading suspension in the largest UTX index member, Motor-Sich, the CEE & CIS Index Committee has decided not to carry out any adjustment in UTX in the course of the December 2020 Review.

At the CEE & CIS Committee Meeting of 3 December 2020 the following decisions were resolved:

-) New version of The Rules of the CEE & CIS Indices of the Vienna Stock Exchange

- Change of Chapter 6.7 IPOs and Fast Entries

Clarification of the time when new weighting factors will be determined and published.

- Change of Chapter 6.11. Delisting, Trading Suspensions and Financial Distress

Clarification regarding the treatment of companies which have announced their intent to delist in the course of an EGM or AGM.

- Change of TO/Cap Rule for KTX:

Change of TO/Cap Rule from Top 5 to Top 6

- Change of applied tax rate for dividend payments of Czech equities:

Change of applied tax rate for dividend payments of Czech equities from 35% to 15% as of December 21, 2020

CEE & CIS INDEX COMMITTEE - Weighting Factors

New weighting factors of CEE indices (representation, fundamental, smoothing factors) have been determined on the basis of closing prices of 16 December 2020.

The adjustments have been implemented after the close of the trading session on 18 December 2020.

CEE Indices

CTX effective 21 December 2020

Name	ISIN	New Representation Factor
CEZ	CZ0005112300	0.64
ERSTE GROUP BANK AG	AT0000652011	0.33

HTX effective 21 December 2020

Name	ISIN	New Representation Factor
MOL	HU0000153937	0.31
OTP BANK	HU0000061726	0.11
RICHTER GEDEON	HU0000123096	0.30

PTX effective 21 December 2020

Name	ISIN	New Representation Factor
All Constituents		1.00

CECE effective 21 December 2020

Name	ISIN	New Representation Factor
All Constituents		1.00

SETX effective 21 December 2020

Name	ISIN	New Representation Factor
BANCA TRANSILVANIA	ROTLVAACNOR1	0.41
BRD-GROUPE SG	ROBRDBACNOR2	0.41
OMV PETROM	ROSNPPACNOR9	0.41
KRKA	SI0031102120	0.65
FONDUL PROPRIETATEA	ROFPTAACNOR5	0.41
ROMGAZ	ROSGNACNOR3	0.41
NUCLEARELECTRICA	ROSNNEACNOR8	0.41

CECEExt effective 21 December 2020

Name	ISIN	New Representation Factor
All Constituents		1.00

CECE MID effective 21 December 2020

Name	ISIN	New Representation Factor
BRD-GROUPE SG	ROBRDBACNOR2	0.99

CECE TD

effective 21 December 2020

Name	ISIN	New Representation Factor
CEZ	CZ0005112300	0.62
RICHTER GEDEON	HU0000123096	0.78
GRUPA LOTOS	PLLOTOS00025	1.00
PGNIG	PLPGNIG00014	1.00
PKN ORLEN	PLPKN0000018	0.52
STOCK SPIRITS GROUP	GB00BF5SDZ96	1.00
4IG	HU0000167788	1.00

CECE FND

effective 21 December 2020

Name	ISIN	New Representation Factor
CEZ	CZ0005112300	0.6805
ERSTE GROUP BANK AG	AT0000652011	0.3251
KOMERCNI BANKA	CZ0008019106	1.0890
PHILIP MORRIS	CS0008418869	18.2044
O2 C.R.	CZ0009093209	6.8286
MOL	HU0000153937	0.9118
MAGYAR TELEKOM	HU0000073507	6.0468
OTP BANK	HU0000061726	0.1903
RICHTER GEDEON	HU0000123096	0.5226
BANK PEKAO	PLPEKAO00016	0.8677
SANTANDER BANK POLSKA	PLBZ00000044	0.7342
KGHM	PLKGHM000017	0.4029
GRUPA LOTOS	PLLOTOS00025	3.3675
PGNIG	PLPGNIG00014	1.2568
PKN ORLEN	PLPKN0000018	0.7205
PKO BP	PLPKO0000016	0.3916
PZU	PLPZU0000011	0.6101
STOCK SPIRITS GROUP	GB00BF5SDZ96	1.4247
VIENNA INSURANCE GROUP AG	AT0000908504	3.9937
GRAPHISOFT PARK SE	HU0000083696	65.1301
LPP	PLLPP0000011	1.1799
MONETA MONEY BANK	CZ0008040318	2.8733
OPUS	HU0000110226	5.2857
DINO POLSKA	PLDINPL00011	0.4786
CD PROJEKT	PLOPTTC00011	0.3585
4IG	HU0000167788	11.9256
BIF	HU0000167986	78.6724
ALLEGRO.EU	LU2237380790	0.3821

NTX

effective 21 December 2020

Name	ISIN	New Representation Factor
BANK PEKAO	PLPEKAO00016	0.98
SANTANDER BANK POLSKA	PLBZ00000044	0.98
KGHM	PLKGHM000017	0.98
GRUPA LOTOS	PLLOTOS00025	0.98
PGNIG	PLPGNIG00014	0.98
PKN ORLEN	PLPKN0000018	0.98
PKO BP	PLPKO0000016	0.98
PZU	PLPZU0000011	0.98
LPP	PLLPP0000011	0.98
DINO POLSKA	PLDINPL00011	0.98
CD PROJEKT	PLOPTTC00011	0.98
ALLEGRO.EU	LU2237380790	0.98

CECE SRI

effective 21 December 2020

Name	ISIN	New Representation Factor
O2 C.R.	CZ0009093209	1.6247
ERICSSON NIKOLA TESLA	HRERNTRA0000	2.3670
HRVATSKI TELEKOM	HRHT00RA0005	1.3254
MAGYAR TELEKOM	HU0000073507	1.6196
OTP BANK	HU0000061726	0.4870
RICHTER GEDEON	HU0000123096	0.9484
KRKA	SI0031102120	1.0303
LUKA KOPER PORT	SI0031101346	2.6782
TELEKOM SLOVENIJE	SI0031104290	2.5933
ZAVAROVALNICA TRIGLAV	SI0021111651	2.0153

BATX

effective 21 December 2020

Name	ISIN	New Representation Factor
TELEKOM SRPSKE	BA100TLKMRA2	0.53

BTX

effective 21 December 2020

Name	ISIN	New Representation Factor
SOPHARMA	BG11SOSOBT18	0.70

CROX

effective 21 December 2020

Name	ISIN	New Representation Factor
HRVATSKI TELEKOM	HRHT00RA0005	0.59

ROTX

effective 21 December 2020

Name	ISIN	New Representation Factor
BANCA TRANSILVANIA	ROTLVAACNOR1	0.72
ERSTE GROUP BANK AG	AT0000652011	0.25

SRX

effective 21 December 2020

Name	ISIN	New Representation Factor
KOMERCIJALNA BANKA	RSKOBBE16946	0.65
NIS	RSNISHE79420	0.33

CECE SOFT

effective 21 December 2020

Name	ISIN	New Representation Factor
CD PROJEKT	PLOPTTC00011	0.50
AVAST	GB00BDD85M81	0.55
ALLEGRO.EU	LU2237380790	0.29

CECE BNK

effective 21 December 2020

Name	ISIN	New Representation Factor
All Constituents		1.00

CECE HCA

effective 21 December 2020

Name	ISIN	New Representation Factor
RICHTER GEDEON	HU0000123096	0.10
KRKA	SI0031102120	0.13
NEUCA	PLTRFRM00018	0.85

CECE OIL

effective 21 December 2020

Name	ISIN	New Representation Factor
MOL	HU0000153937	0.70
GRUPA LOTOS	PLLOTOS00025	0.44
PGNIG	PLPGNIG00014	0.44
PKN ORLEN	PLPKN0000018	0.44

CECE TEL

effective 21 December 2020

Name	ISIN	New Representation Factor
HRVATSKI TELEKOM	HRHT00RA0005	0.81
ORANGE POLSKA	PLTLKPL00017	0.80

CECE INF

effective 21 December 2020

Name	ISIN	New Representation Factor
CEZ	CZ0005112300	0.61

CERX

effective 21 December 2020

Name	ISIN	New Representation Factor
CA IMMOBILIEN ANLAGEN AG	AT0000641352	0.25
IMMOFINANZ AG	AT0000A21KS2	0.35

EETX

effective 21 December 2020

Name	ISIN	DR Ratio	New Representation Factor
GAZPROM ADR	US3682872078		0.56
LUKOIL ADR	US69343P1057		0.63
NORILSK NICKEL ADR	US55315J1025		0.92
NOVATEK GDR	US6698881090		0.70
ROSNEFT GDR	US67812M2070		0.81
TATNEFT GDR	US8766292051		0.97
SBERBANK ADR	US80585Y3080		0.43
POLYUS GDR	US73181M1172		1.00

CIS Indices
RTX

effective 21 December 2020

Name	ISIN	New Representation Factor
SBERBANK	RU0009029540	0.90

RTX MID

effective 21 December 2020

Name	ISIN	New Representation Factor
All Constituents		1.00

RTX MET

effective 21 December 2020

Name	ISIN	New Representation Factor
NORILSK NICKEL	RU0007288411	0.32
POLYUS	RU000A0JNAA8	0.81

RTX NRG

effective 21 December 2020

Name	ISIN	New Representation Factor
INTER RAO	RU000A0JPNM1	0.42

RTX OIL

effective 21 December 2020

Name	ISIN	New Representation Factor
GAZPROM	RU0007661625	0.70
LUKOIL	RU0009024277	0.79

RDX

effective 21 December 2020

Name	ISIN	DR Ratio	New Representation Factor
SBERBANK ADR	US80585Y3080		0.92

RDXxt

effective 21 December 2020

Name	ISIN	DR Ratio	New Representation Factor
GAZPROM ADR	US3682872078		0.41
LUKOIL ADR	US69343P1057		0.46
NORILSK NICKEL ADR	US55315J1025		0.68
NOVATEK GDR	US6698881090		0.93
SBERBANK ADR	US80585Y3080		0.32

RDX MET

effective 21 December 2020

Name	ISIN	DR Ratio	New Representation Factor
NORILSK NICKEL ADR	US55315J1025		0.23
POLYUS GDR	US73181M1172		0.57

RDX OIL

effective 21 December 2020

Name	ISIN	DR Ratio	New Representation Factor
GAZPROM ADR	US3682872078		0.62
LUKOIL ADR	US69343P1057		0.70

KTX

effective 21 December 2020

Name	ISIN	DR Ratio	New Representation Factor
KAZ MINERALS	GB00B0HZPV38		0.47
KASPI.KZ GDR	US48581R2058		0.45

KTX LOCAL

effective 21 December 2020

Name	ISIN	New Representation Factor
KAZ MINERALS	GB00B0HZPV38	0.14
HALYK SAVINGS BANK	KZ000A0LE0S4	0.38
KAZATOMPROM	KZ1C00001619	0.31

UTX

effective 21 December 2020

Name	ISIN	New Representation Factor
MOTOR-SICH	UA4000143135	0.40
KERNEL HOLDING	LU0327357389	0.11